



level. The deviation represents the degree or over-achievement (positive deviation) or under-achievement (negative deviation). Each achievement function is a linear function of the appropriate deviational variables. The fundamental distinction is that GP minimises these achievement functions in order of priority rather than minimizing or maximizing the objective functions directly. The absolute goals are treated as top priority goals and are assigned to the first achievement function. The deviation of this achievement function should invariably be zero. Otherwise, no solution exists for the problem.

The general goal programming model can be expressed as

$$\text{Find } X(x_1, x_2, \dots, x_n) \quad (1)$$

so as to minimize

$$A = [Ca^-(d^-, d^+), a_2(cf, d^+), \dots, a_t(cf, d^+)] \quad (2)$$

subject to

$$g_i(X) + d_i^- - d_i^+ = c_i \quad i = 1, 2, \dots, m \quad (3)$$

$$f_i(X) + d_{m+i}^- - d_{m+i}^+ = b_i \quad i = 1, 2, \dots, k$$

where

- X the decision vector
- $x_1, \dots, x_n$  decision variables
- A the achievement function vector
- $a_1, \dots, a_t$  achievement functions in order of priority
- $g_i(X)$  ith constraint goal function
- $c_i$  aspiration level for ith constraint goal
- $f_i(X)$  ith objective goal function
- $b_i$  aspiration level for ith objective goal
- n number of decision variables
- m number of constraint goals
- k number of objective goals
- t number of priorities
- $d_i^-$  deviation variable representing degree of under-achievement
- $d_i^+$  deviation variable representing degree of over-achievement

Each deviation variable is determined independently from the corresponding constraint equation as follows

$$d_i^- = \begin{cases} d_i^- & \text{if } d_i^- \leq 0 \\ 0 & \text{if } d_i^- > 0 \end{cases} \quad (4)$$

where

$$d_i^- = \begin{cases} c_i - g_i(X) & \text{if } c_i - g_i(X) \leq 0 \\ 0 & \text{if } c_i - g_i(X) > 0 \end{cases}$$

Similarly

$$d_i^+ = \begin{cases} 0 & \text{if } d_i^+ \leq 0 \\ d_i^+ & \text{if } d_i^+ > 0 \end{cases} \quad (5)$$

where

$$d_i^+ = \begin{cases} g_i(X) - c_i & \text{if } g_i(X) - c_i > 0 \\ 0 & \text{if } g_i(X) - c_i \leq 0 \end{cases}$$

PROBLEM FORMULATION

Power utilities using fossil fuels as a primary energy source, give rise to particulates and gaseous pollutants apart from heat. The

particulates as also the gaseous pollutants such as carbon dioxide (CO<sub>2</sub>), oxides of sulphur (SO<sub>x</sub>) and oxides of nitrogen (NO<sub>x</sub>) cause detrimental effects on human beings. However, in reality, the effect of CO<sub>2</sub> on the environment is not yet precisely known. Pollution control agencies (Municipal/Governmental regulatory bodies) restrict the amount of emission of pollutants depending upon their relative harmfulness to human beings and hence a priority structure can be formed for the multiobjective problem. In fact NO<sub>x</sub> emission, SO<sub>x</sub> emission, thermal emission and particulates all together can be treated as a single minimum emission criterion. Thus, the EELD problem can be defined as :

$$\text{Find the real power generations } P^1, P^2, \dots, P^n \quad (6)$$

which will minimize the objectives

$$(1) \text{ Total emission expressed as } f_1(P) = \sum_{i=1}^n \{ D_i (P_i)^2 + E_i (P_i) + F_i \} \quad (7)$$

where D, E and F are emission coefficients.

$$(2) \text{ Total operating cost expressed as } f_2(P) = \sum_{i=1}^n (A_i (P_i)^2 + B_i (P_i) + C_i) \quad (8)$$

where A, B and C are cost coefficients

subject to

$$(a) \text{ the operating constraints i.e., } P_i^{\min} \leq P_i \leq P_i^{\max} \quad (9)$$

where  $i = 1, 2, \dots, n$

$$(b) \text{ the power demand constraint i.e., } \sum_{i=1}^n P_i = P_0 \quad (10)$$

(Losses can be neglected as we are concerned with environmental rather than only operating cost)

The objective functions, Eqs. (7) and (8) are considered as continuous quadratic functions relevant to the problem under consideration. However, situations having discontinuous objective functions can also be handled by the GP model.

Formulation in LGP format

Taking decision variables  $x_1, x_2, \dots, x_n$  for the real power generations  $P_1, P_2, \dots, P_n$  the problem is formulated in LGP format as described below :

Absolute goals : (i) The operating limits (lower and upper) on the decision variables and (ii) The power demand equality constraint become the absolute goals.

(i) Operating limit goals are expressed as

$$\begin{aligned} g_1(X) + d_1^- - d_1^+ &= c_1 \\ g_2(X) + d_2^- - d_2^+ &= c_2 \\ &\vdots \\ g_n(X) + d_n^- - d_n^+ &= c_n \end{aligned} \quad (11)$$

$$\begin{aligned} g_{n+1}(X) + d_{n+1}^- &= d_{n,1}^+ = r_{n+1} \\ &\vdots \\ g_{2n}(X) + d_{2n}^- - d_{2n}^+ &= r_{2n} \end{aligned}$$

where

$c_1, c_2, \dots, c_n$  are the aspiration levels representing the lower bounds on the decision variables and  $c_{n+1}, c_{n+2}, \dots, c_{2n}$  are the aspiration levels representing the upper bounds on the decision variables and

$g_1(X), g_2(X), \dots, g_n(X)$  as well as  $g_{n+1}(X), g_{n+2}(X), \dots, g_{2n}(X)$  are the decision variables  $x_1, x_2, \dots, x_n$ .

(ii) The power demand equality goal is expressed as

$$g_{2n+1}(X) + d_{2n+1}^- - d_{2n+1}^+ = c_{2n,1} \quad (12)$$

where

$c_{2n+1}^-$  is the aspiration level of the power demand

$$\text{and } g_{2n+1}(X) = \sum_{i=1}^n P_i$$

The absolute goals of operating limits and power demand together given the top priority and are assigned to the first achievement function ( $a_1$ ).

Objective goals : (i) The minimum emission level and (ii) the minimum operating cost are the objective goals.

(i) The emission goal is expressed as

$$\langle V^X \rangle + d_{2n+2}^- - d_{2n+2}^+ = b_1 \quad (13)$$

where

$b_1$  is the aspiration level of the emission, and

$$f_1(X) = \sum_{i=1}^n \{ D_i(P_i)^2 + E_i(P_i) + F_i \}$$

(ii) The operating cost goal is expressed as

$$f_2(X) + d_{2n,3}^- - d_{2n,3}^+ = b_2 \quad (14)$$

where

$b_2$  is the aspiration level of the operating cost, and

$$f_2(X) = \sum_{i=1}^n \{ A_i(P_i)^2 + B_i(P_i) + C_i \}$$

The objective goals of minimum emission and minimum operating cost as per the desired priorities are assigned to the next achievement functions ( $a_2$  and  $a_3$ ).

It is worth mentioning once again that each achievement function is the sum of the appropriate deviations to be minimized corresponding to the goals. The deviations that are considered in the achievement function depend upon the type of the goal form. Positive deviation in case of a  $\leq$  type goal, negative deviation in case of a  $\geq$  type goal and both positive and negative deviations in case of a = type goal are considered for minimization.

Thus, the first achievement function  $a_1$

is the sum of all the appropriate deviations of the absolute goals. Suppose the order of achievements of objective goals are (i) minimum emission level and (2) minimum operating cost, then the achievement functions are

$$r_{x1} = d_1^+ + d_2^+ + \dots + d_n^+ + d_{n+1}^- + d_{n+2}^- + \dots + d_{2n}^- + d_{2n+1}^- + d_{2n+1}^+ \quad (15)$$

$$a_2 = d_{2n,2}^+$$

$$a_3 = d_{2n+3}^+$$

Hence the problem is now

to minimize the achievement function vector  $A = [a_1, a_2, a_3]$  (16)

subject to

$$g_i(X) + d_i^- - d_i^+ = c_i \quad i=1, 2, \dots, 2n, 2n+1 \quad (17)$$

$$f_i(X) + d_{2n+1+i}^- + d_{2n+1+i}^+ = b_i \quad i=1, 2$$

and  $d_i^- \geq 0, d_i^+ \geq 0$  and  $d_i^- \cdot d_i^+ = 0$  for all  $i$  (18)

Formulation in NLGP format

In the proposed NLGP algorithm Box complex method [7] is used to minimize the achievement functions. The advantage of this method is that the operating limits on the decision variables (real power generations in this case) will be taken care while generating the feasible points. Hence the boundary constraints can no more needed to be included in absolute goals. Hence, for the proposed NLGP algorithm power demand equality constraint is the only constraint goal (absolute goal). Sox complex method cannot handle equality constraints. Hence, the power demand equality constraint is converted into an inequality constraint as :

$$\sum_{i=1}^n P_i = P_D \text{ can be replaced by}$$

$$\sum_{i=1}^n P_i \geq P_D - \delta \quad (i)$$

and

$$\sum_{i=1}^n P_i \leq P_D + \delta \quad (ii)$$

The constraints of the nature (ii) are needed only for getting a feasible starting point. This type of constraints are never violated during the process of searching for the optimum point. This is due to the fact that as the complex shrinks the objective moves towards the constraints of the nature (i). In fact cost of generation will be minimum, while meeting load requirements when (i) is satisfied as equality constraint with  $\delta = 0$ . Hence, constraints of the nature (ii) can be ignored. Thus, the power demand constraint can be written as

$$\sum_{i=1}^n P_i \leq P_D + \delta \quad (19)$$

Taking decision variables  $x_1, x_2, \dots, x_n$  for the real power generations  $P_1, P_2, \dots, P_n$  the NLGP format can now be written as

$$\text{absolute goal : } g_j(x) + d^+ - d^- = c_j$$

$$\text{objective goals} z_f(X) + d_2 - d_2^* = b_i \quad (20)$$

$$f_2(X) + d_3 - d_3^* = b_2$$

where

$$g_1(X) = \sum_{i=1}^n P_i$$

$$f_1(X) = \sum_{i=1}^n \{ D_i(P_i)^2 + E_i(P_i) + F_i \} \quad (21)$$

$$f_2(X) = \sum_{i=1}^n \{ A_{i1}(P_i) + SB_{i1}(P_i) + C_{i1} \}$$

and  $c_1, b_1$  and  $b_2$  are the aspiration levels of the power demand goal, emission goal and operating cost goal, respectively.

The achievement functions for the power demand goal, emission goal, and operating cost goal, respectively, are

$$a_1 = d_1^*, \quad a_2 = d_2^* \quad \text{and} \quad a_3 = d_3^* \quad (22)$$

Thus the problem is to minimize the achievement function vector

$$A = [ a_1, a_2, a_3 ] \quad (23)$$

subject to

$$g_1(X) + d_1 - d_1^* = c_1$$

$$f_i(X) + d_i - d_i^* = b_i \quad i=1,2 \quad (24)$$

and

$$d_i \geq 0, \quad d_i^* > 0 \quad \text{and} \quad d_i - d_i^* = 0 \quad \text{for all } i \quad (25)$$

SOLUTION ALGORITHMS

The solution algorithms of both LGP and NLGP are discussed below:

Linear goal programming

Basically two LGP algorithms are existing in literature, viz. (i) sequential linear goal programming and (ii) multiphase linear goal programming. Sequential linear goal programming is the earliest method, based on sequential solutions to a series of conventional linear programming problems according to the priority level. Multiphase linear goal programming is more straightforward and generally requires fewer computations. It is based on multiphase simplex method which is simply a refinement of the well known two-phases method. In the present paper the EELD problem is solved using the multiphase simplex method [ 8 ].

Non-linear goal programming

The NLGP algorithms existing in the literature are (i) by Ignizio [ 9] and (ii) by Huang and Masud [10]. These NLGP algorithms have certain drawbacks such as selection of step sizes and/or starting feasible point. In order to overcome these drawbacks a new NLGP algorithm is developed which utilizes the Sox method efficiently for minimizing the achievement functions. The following are the steps to be followed to solve the ELLQ problem by the proposed NLGP algorithm.

- Step 1 : Set the complex size K.  
Set the achievement function count,  
IACH = 0.
- Step 2 : Increment the achievement function count, IACH = IACH + 1.

Step 3 : If IACH is 1, the starting feasible point is generated. Otherwise, previous best point is treated as the starting feasible point.

Step 4 : Set the sequential search count, ISELJ = 1.

Step 5 : Set the iteration count, ITR = 1. The remaining (K-1) complex points are generated by the use of random numbers such that

$$X_j = L_j + R(U_j - L_j), \quad j=2,3,\dots,K$$

where R is a random number generated in the range 0 to 1 and  $L_j$  and  $U_j$

are the lower and upper bounds for  $X_j$ .

This relation will ensure that the (K-1) points so generated will satisfy the lower and upper bounds of the jth decision variable. Check whether these points satisfy the previous achievement function values. If satisfied go to next step. Otherwise, the trial point is moved half way towards the centroid  $X_c$  of the remaining already accepted points as

$$X_j = \frac{1}{2} [ X_c + X_j ]$$

$$\text{where } X_c = \frac{1}{j-1} \sum_{i=1}^{j-1} X_i$$

The process of moving half way towards the centroid  $X_c$  is continued until a feasible point  $X_j$  is found.

step 6 : Evaluate the objective function value at each of the K points. Estimate the point  $X_w$  at which the function value assumes the worst value  $f(X_w)$  and the point  $X_b$  at which the function value is the best  $f(X_b)$ .

Step 7 : Check whether  $| f(X_w) - f(X_{fa}) | \leq \epsilon$  ( a prespecified tolerance). If yes, go to the next step. Otherwise go to step 11.

Step 8 : If ISEQ = 1, go to step 10. Otherwise, check whether ISEQ  $\leq$  ISEQMAX (a prespecified maximum number of sequential searches. Experience shows that generally 2 to 3 sequential searches are sufficient to find the global optimum). If yes, go to step 14. Otherwise, go to the next step.

Step 9 : Check whether  $| f(X_{Q1d}) - f(X_b) | \leq \epsilon_2$  (a prespecified tolerance). If yes, go to step 14. Otherwise, go to next step.

Step 10 : Set  $f(X_{Q1d}) = f(X_b)$ , increment ISEQ = ISEQ + 1 and go to step 5.

Step 11 : The worst point  $X_w$  is replaced by its reflection point  $X_r$  such that

$$X_r = (1+a)X_w - aX_c$$

where a is called the reflection coefficient and its recommended value is 1.3 and  $X_c$  is the centroid of all the points except

$$X_w$$

Step 12 : Check whether the point  $X_r$  is feasible and its function value  $f(X_r)$  is better than  $f(X_w)$ . If satisfied go

to next step. Otherwise, reduce a and go to step 11. The process of reducing is continued till its value becomes as small as  $10^{-j}$  and then go to step 5.

Step 13: Check whether the iteration count ITR has reached the prespecified maximum number of iterations. If yes, go to step 10. Otherwise, increment  $ITR=ITR+1$  and go to step 6.

Step 14: Check whether  $IACH < NACH$  (number of achievements). If yes, go to step 2. Otherwise search is terminated.

RESULTS AND ANALYSIS

The proposed LGP and NLGP algorithms have been applied to a six generator system [43] for two specific cases :

- I. Generators running with natural gas.
- II. Generators running with fuel oil.

It is worth mentioning once again that the power demand and the operating limits on generators become the absolute goals in case of LGP algorithm, but the power demand is the only absolute goal while using NLGP algorithm. Also, that the absolute goals should be given the first priority.

Case I : Generators running with natural gas

The constraint goal (absolute goal) is treated as the first priority goal,  $NO_x$  emission as the second priority goal and the operating cost as the third priority goal. The total power demand becomes the aspiration level for the first priority goal (operating limits on generators also as the first priority in case of LGP), an emission of 2600 lbs/hr (as restricted by the pollution control agency) is the aspiration level for the second priority goal. An infeasible aspiration level, say .10CO/hr, is assigned to the third priority goal to ensure the minimum operating cost.

The problem is solved again by changing the priorities i.s. absolute goals as the first priority, operating cost as the second priority and  $NO_x$  emission as the third priority.

The aspiration levels for the first priority remain the same. The aspiration level for the second priority is taken as 1.4000/hr and an infeasible aspiration level, say 1000 lbs/hr, is given to the third priority goal to achieve minimum emission dispatch.

The results of these studies using LGP technique are presented in Tables I & II and the corresponding results using the NLGP technique are presented in Tables V & VI.

Case II : Generators running with fuel oil

This case also is solved with absolute goals,  $NO_x$  emission and operating cost as the order of priorities. The total power demand (and also operating limits on the generators in case of LGP algorithm) becomes the aspiration level for the first priority goal. An emission of 3100 lbs/hr is the aspiration level for the second priority goal. An infeasible aspiration level, say  $>1000/hr$ , is given to the third priority goal.

This problem is also resolved by interchanging the objective goal priorities i.s. absolute goals, operating cost and  $NO_x$  emis-

sion as the new order of priorities.

The results of these studies using the LGP algorithm are presented in Tables III & IV and those obtained with the proposed NLGP algorithm in Tables VII & VIII. The solution vector (Real power outputs in NLJ) is also presented in all the studies.

The results obtained in both the cases I and II clearly reveal that better optimum values were achieved with the NLGP as compared to those obtained using LGP. However, the solution time will be more with NLGP as compared to LGP. Further, examining the algorithms of LGP and NLGP and comparing them with the corresponding algorithms of LP and NLP, it can be said in general, that the GP based algorithms will be faster.

In order to validate the proposed LGP and NLGP algorithms, these are applied to a 6 generator system [4] considering a single objective at a time and duly comparing the results with those of Ref.4. It can be observed (Tables IX) that the optimum results obtained by the proposed LGP and NLGP algorithms are in very close agreement to those of Ref.4, wherein the problems were solved using NLP.

In the present paper least square minimization principle, which yields adequate accuracy, is employed to linearize the nonlinear (quadratic) cost and emission functions.

Table I  
Optimum results with LGP-generators running on natural gas

Prio- level	Achieve- ment goal	Aspira- tion level	Achieve- ment func- tion value	Optimum value			
1	Power demand & operating limits	As specified	0.0	-			
2	$NO_x$ ? emission	2600 lbs/hr	0.0	2561.85 lbs/hr			
3	Operating cost	1000 i/hr	2368.50	3368.60 ^/hr			
Solution vector		80.0	80.0	220.0	232.0	344.0	344.0

Table II  
Optimum results with LGP-generators running on natural gas (priorities changed)

Pri- ority level	Achieve- ment goal	Aspira- tion level	Achieve- ment func- tion value	Optimum value			
1	Power demand & operating limits	As specified	0.0	-			
2	Operating cost	4000 "/hr	0.0	3330.69 t/hr			
3	$NO_x$ emission	1000 lbs/hr	1736.60	2736.60 lbs/hr			
Solution vector		91.0	27.0	236.0	72.0	344.0	330.0

Table III  
Optimum results with LGP-generators running on fuel oil

Prio- IBVBI	Achieve- ment goal	Aspira- tion level	Achieve- ment func- tion value	Optimum value
1	Power demand & operating limits	As specified	0.0	-
2	NO <sub>x</sub> emission	3100 lbs/hr	0.0	3072.55 lbs/hr
3	Operating cost	1000 t/hr	2952.17	3952.17 \$/hr
Solution vector $g_{5>Q} \ 9_{5>Q}$ 220.0 232.0 314.0 144.0				

Table IV  
Optimum results with LGP-generators running on fuel oil (Priorities changed)

Prio- level	Achieve- ment goal	Aspira- tion level	Achieve- ment func- tion value	Optimum value
1	Power demand * operating limits	As specified	0.0	-
2	Operating cost	4000 t/hr	0.0	3838.06 \$/hr
3	NO <sub>x</sub> emission	1000 lbs/hr	2023.0	3666.10 lbs/hr
Solution vector 35.0 35.0 113.0 232.0 344.0 344.0				

Table V  
Optimum results with NLGP-generators running on natural gas

Prio- level	Achieve- ment goal	Aspira- tion level	Achieve- ment func- tion value	Optimum value
1	Power demand	1100 Ftu	0.0	-
2	NO <sub>x</sub> emission	2500 lbs/hr	0.0	2399.98 lbs/hr
3	Operating cost	1000 t/hr	2297.54	3297.54 \$/hr
Solution vector $6_{2\#73}$ 60.27 185.09 184.44 299.0 308.5				

Table VI  
Optimum results with NLGP-generators running on natural gas (Priorities changed)

Prio- level	Achieve- ment goal	Aspira- tion level	Achieve- ment func- tion value	Optimum value
1	Power demand	1100 F/U	0.0	-
2	Operating cost	4000 t/hr	0.0	3362.07 \$/hr
3	NO <sub>x</sub> emission	1000 lbs/hr	1211.38	2211.88 lbs/hr
Solution vector $gg \ 3_{gg} \ n_{185-26}$ 181.06 267.84 267.84				

Table VII  
Optimum results with MLGP-generators running on fuel oil

Pri- level	Achieve- ment goal	Aspira- tion level	Achieve- ment func- tion value	Optimum value
1	Power demand	1100 MW	0.0	-
2	NO <sub>x</sub> emission	3100 lbs/hr	0.0	3099.99 lbs/hr
3	Operating cost	1000 t/hr	2850.38	3850.38 \$/hr
Solution vector $0_{00} \ 66\#95 \ 60.94 \ 206.84$ 201.25 283.34 280.9				

Table VIII  
Optimum results with NLGP-generators running on fuel oil (Priorities changed)

Pri- level	Achieve- ment goal	Aspira- tion level	Achieve- ment func- tion value	Optimum value
1	Power demand	1100 MW	0.0	-
2	Operating cost	4000 t/hr	0.0	3939.76 \$/hr
3	NO <sub>x</sub> emission	1000 lbs/hr	1255.03	2855.03 lbs/hr
Solution vector 101.0 101.0 212.7 212.7 236.25 236.31				

Table IX  
Comparison of minimum operating cost and minimum NO<sub>x</sub> emission

Type of fuel	Operating condition	Using LGP		Using NLGP		Using MP (Ref. 4)	
		Cost	NO <sub>x</sub> emission lbs/hr	Cost \$/hr	NO <sub>x</sub> emission lbs/hr	Cost i/hr	NO <sub>x</sub> emission lbs/hr
Natural gas	Economic Load Dispatch	3289.32	2744.63	3279.79	2633.72	3279.65	2641.29
	Minimum Emission Dispatch	3404.18	2446.12	3362.07	2211.88	3362.17	2211.74
Fuel oil	Economic Load Dispatch	3834.48	3677.66	3819.86	3472.41	3818.85	3542.39
	Minimum Emission Dispatch	3967.30	3023.00	3939.74	2855.03	3932.25	2855.03

### CONCLUSIONS

The problem of economic-emission load dispatch to provide optimum operating cost and minimum emission dispatch is formulated as a multiobjective problem. A maiden attempt is made to solve this conflicting, multiobjective problem with the use of LGP technique as well as with NLGP technique. A new and efficient NLGP algorithm, which utilizes Box complex method for minimizing the achievement functions, is presented which overcomes certain drawbacks of existing NLGP algorithms. The effectiveness of the goal programming techniques is fully demonstrated by solving a sample system. Investigations reveal that the proposed techniques, are quite attractive for practical applications.

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