

Upper Hybrid Wave Pumped Free Electron Laser

Suresh C. Sharma and V. K. Tripathi

Abstract—A large amplitude upper hybrid wave has potential to be employed as a wiggler for the generation of high frequency coherent radiation via free electron laser (FEL) instability. At a density fluctuation level of a few percent, due to the upper hybrid wave, the growth time of FEL instability, with electron beam current of a few kilo ampere, turns out to be of the order of a nanosecond. The growth rate of FEL instability depends sensitively on ω_i and this dependence comes through v_{osc} , the beam oscillatory velocity. v_{OBC} acquires large values at $k_{Oz} \approx [\omega_0 - (\omega_c/7\delta)]/v_0 = \omega_c [1 - (1/\gamma_0^2)]/v_0$. At this value of k_{Oz} , $\omega_i \approx 2T\theta^2\omega_c [2 - (1/7\theta^2)]$. However, this scheme of FEL operation suffers from a severe limitation due to the parametric instability of the upper hybrid wave. The process of parametric decay into lower hybrid and upper hybrid waves may have growth rate comparable to that of the FEL instability.

I. INTRODUCTION

THE electrostatic waves have been proposed as wigglers for the generation of high frequency coherent radiation in a free electron laser [1]-[4]. The scheme requires a high density plasma in the interaction region of the device to sustain the electrostatic waves. Reference [1] examined a Compton regime free electron laser using Langmuir wave as wiggler. Reference [2] proposed an explosive mode of free electron laser operation in the collective Raman regime, using Langmuir wave as wiggler. In this case the negative energy beam mode feeds energy into the wiggler and radiation waves leading to an explosive instability. In [3], we have examined the possibility of employing lower hybrid waves as wigglers for the generation of millimeter waves. Recently, [4] has proposed the concept of a compact ion ripple laser for generating short wave lengths. In this scheme a large amplitude ion density ripple with \vec{k} -vector at an angle to the relativistic electron beam velocity is required.

The employment of electromagnetic [5]-[14] waves as wigglers has also drawn considerable interest over the years. Reference [5] has studied a gyrotron pumped free electron laser in the Compton regime. The generated frequency ω_i is given by $\omega_i = 47\omega_0$ (where ω_0 is the relativistic gamma factor, ω_0 is the pump wave frequency). The instability grows exponentially with time in the linear stage and saturates via particle trapping and other nonlinear effects. Reference [8] has examined the Raman regime operation of an electromagnetic wave pumped free electron laser. They have shown that it is possible to operate FEL in the explosive mode if one employs a slow wave dispersive medium. The pump and the radiation

signal take energy from the negative energy space charge mode and grow. Reference [15] has demonstrated experimentally the frequency upconversion of a TM mode via free electron laser mechanism in a backward wave oscillator (BWO). The BWO is tuned to operate at 8.4 GHz. At the 8.4 GHz TM mode acquires high power (~1.2 MW) it is frequency upconverted into a 130 GHz wave.

In this paper, we examine the concept of an upper hybrid wave pumped free electron laser in the presence of a guide magnetic field. In Section II, we study the three wave nonlinear coupling, involving a upper hybrid wave wiggler, a negative energy space charge mode, and an electromagnetic mode in the collective Raman and Compton regimes. The upper hybrid wave may also undergo decay instability in the plasma, that may adversely affect the prospects of the FEL instability. In Section III, we study the parametric decay instability of a upper hybrid wave. A discussion of results is given in Section IV. The mathematical approach in the present analysis has been followed from the [3], [9], [17], [18].

II. FREE ELECTRON LASER INSTABILITY

Consider a homogenous plasma of equilibrium density n_0 in a static magnetic field $\vec{B}_s \parallel \hat{z}$. An upper hybrid wave (ω_0 , \vec{k}_0) propagates through it in the x - z plane with electrostatic potential ϕ_0

$$\phi_0 = \phi_0 e^{-i(\omega_0 t - \vec{k}_0 \cdot \vec{r})},$$

$$\omega_0^2 = \frac{1}{2} \left[(\omega_p^2 + \omega_c^2) + \sqrt{(\omega_p^2 + \omega_c^2)^2 - 4\omega_p^2\omega_c^2 \frac{k_{0z}^2}{k_{0\pm}^2}} \right] \quad (1)$$

where ω_p , ω_c and ω_0 are the plasma, cyclotron and pump wave frequencies respectively. A relativistic electron beam with density n_0^b and velocity $v_b \hat{z}$ is launched into the plasma. The beam electrons acquire an oscillatory velocity v_{Oz}

$$v_{Ox} = - \frac{ieE_{0x}(\omega_0 - v_b k_{0z})}{m \left[(\omega_0 - v_b k_{0z})^2 - \frac{\omega_c^2}{\gamma_0^2} \right] \gamma_0^2},$$

$$v_{Oy} = - \frac{eE_{0y}\omega_c}{m \left[(\omega_0 - v_b k_{0z})^2 - \frac{\omega_c^2}{\gamma_0^2} \right] \gamma_0^2},$$

$$v_{Oz} = \frac{eE_{0z}}{im(\omega_0 - v_b k_{0z})\gamma_0^3} \quad (2)$$

where $\gamma_0 = (1 - v_b^2/c^2)^{-1/2}$, e , m are the equilibrium relativistic gamma factor, electron charge, mass, respectively.

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The authors are with the Department of Physics, Indian Institute of Technology, Hauz Khas, New Delhi 110 016, India.

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We perturb this equilibrium by coupled electromagnetic wave (ω, \vec{k}) and the beam space charge mode (ω, \vec{k}) perturbations

$$\begin{aligned} \vec{E}_x &= X E x e^{i(\omega)t - i(\vec{k}\cdot\vec{x})} \\ \phi &= \phi e^{-i(\omega t - \vec{k}\cdot\vec{x})}, \\ \omega_1 &\cong k_1 c \\ \omega &= k_z v_b - \frac{\omega_{pb}}{\gamma_0^{3/2}} \end{aligned} \quad (3)$$

where

$$\omega_{pb} = \left(\frac{4\pi n_{0b}^0 e^2}{\gamma_0} \right)^{1/2}$$

is the beam plasma frequency.

The phase matching conditions are

$$\vec{k}_i = \vec{k} + \vec{k}_0$$

They yield the frequency of the electromagnetic radiation

$$\omega = 2\gamma_0^2 (k_{0z} v_b + \omega_0 - W_{pb}/\gamma_0^{3/2}) \quad (4)$$

ω has indirect dependence on $u > p$. As w_p is increased ω goes up, for a given k_{0z} , hence $u > \lambda$ is enhanced. The frequency of the radiation wave has a rather weak dependence on beam density.

The linear response of beam electrons to the (ω, \vec{k}) wave can be written as

$$\vec{v}_1 = \frac{e\vec{E}}{i\omega_1 m \gamma_0^0} \quad (5)$$

The wiggler and the radiation wave exert a ponderomotive force on the beam electrons at (ω, \vec{k})

$$\begin{aligned} \vec{F}_p &= -\frac{m}{2} [\vec{v} \cdot \nabla (\gamma \vec{v})] - \frac{e}{2c^2} (Ox3) \\ &= -\frac{m}{2} [\vec{v}_b \cdot \nabla (\gamma_0^* \vec{v}_1 + \gamma_1 \vec{v}_0^*) + \vec{v}_0^* \cdot \nabla (\gamma_0^0 \vec{v}_1 + \gamma_1 \vec{v}_0) \\ &\quad + \vec{v}_1 \cdot \nabla (\gamma_0^0 \vec{v}_0^* + \gamma_0^* \vec{v}_b)] - \frac{e}{c} \vec{v} \wedge \vec{B}_1 \end{aligned} \quad (6)$$

where γ_0, γ_1 are the first and the second order perturbations of relativistic gamma factor, respectively.

However, only z component of \vec{F}_p is effective in the vicinity of Cerenkov resonance $u > \sim k_z v_b$. One may simplify (6) to write $F_{pz} = e i k_z (f > p)$ where

$$f > p = \frac{e \langle t >_0 E i_x k_{0x}}{i m \omega_1 \gamma_0^0 k_z} \left[\frac{k_{0z}}{(\omega_0 - v_b k_{0z})} - \frac{(\omega_0 - v_b k_{0z}) k_{1z}}{[(\omega_0 - v_b k_{0z})^2 - \frac{\omega_c^2}{\gamma_0^2}]} \right] \quad (7)$$

The ponderomotive force and the self consistent field $\vec{E} = -\nabla(\phi >)$ produce oscillatory electron velocity and density perturbation at (ω, \vec{k})

$$v_z = -\frac{e k_z (\phi + \phi_p)}{m \gamma_0^3 (\omega - k_z v_b)} \quad (8)$$

$$n = -\frac{n_{0b}^0 e k_z^2 (\phi + \phi_p)}{m \gamma_0^3 (\omega - k_z v_b)^2} \quad (9)$$

Using the density perturbation in Poisson's equation we obtain

$$e \phi > = -X e \phi_p \quad (10)$$

where

$$X = 1 + \chi_e = 1 - \frac{\omega_{pb}^2}{\gamma_0^3 (\omega - k_z v_b)^2}$$

χ_e is beam susceptibility.

The nonlinear current density at (ω, \vec{k}) can be written as

$$\vec{J}_1 = -n e v \vec{v} \quad (11)$$

Using (11) in the wave equation

$$\nabla^2 \vec{E}_1 + \frac{\omega_1^2}{c^2} \vec{E}_1 = -\frac{4\pi}{c^2} i \omega_1 \vec{J}_1$$

we obtain

$$(\omega_1^2 - \omega_{pb}^2) \vec{E}_1 = -\frac{\omega_{pb}^2 i \omega_1 k_z^2 (\phi + \phi_p) v_{0x}}{2 \gamma_0^3 (\omega - k_z v_b)^2} \quad (12)$$

From (10) and (12) we obtain nonlinear dispersion relation

$$(\omega_1^2 - \omega_{pb}^2) (\omega - k_z v_b) = \frac{\omega_{pb}^2 e^2 \phi_0^2 k_z^2 (1 - v_b/c) k_z^2 p'}{4 m^2 \gamma_0^5} \quad (13)$$

where

$$\frac{k_z}{\left[(\omega_0 - v_b k_{0z})^2 - \frac{\omega_c^2}{\gamma_0^2} \right]} = \frac{(\omega_0 - v_b k_{0z})^2 k_{1z}}{\left[(\omega_0 - v_b k_{0z})^2 - \frac{\omega_c^2}{\gamma_0^2} \right]^2}$$

We solve (13) in two limits

A. Compton Regime

In the case when beam current is small, $v_b < 1$, self consistent potential of the beam mode can be neglected as compared to ponderomotive potential ($\phi > < C < />_p$), and (13) can be rewritten as

$$(\omega_1^2 - k_z^2 c^2) (\omega - k_z v_b)^2 = \frac{e^2 \phi_0^2 k_z^2 k_{0z}^2 p' \omega_{pb}^2}{4 m^2 \gamma_0^5} \quad (14)$$

The two factors on the left hand side when equated to zero, $\omega - k_z c = 0, \omega - k_z v_b = 0$, give radiation and beam modes respectively. We solve (14) around the simultaneous zeroes of the left hand side by expanding ω as

$$\begin{aligned} \omega_1 &= k_1 c + \delta \\ &= k_z v_b + \delta' \end{aligned}$$

Then, (14) gives

$$\delta = \frac{e^2 \phi_0^2 k_z^2 k_{0z}^2 p' e^{i 2 n \pi}}{8 m^2 \omega_1 \gamma_0^5} \quad (15)$$

The growth rate turns out to be

$$\gamma = \text{Im } \delta = \left[\frac{\omega_{pb}^2 e^2 \phi_0^2 k_z^2 k_{0z}^2 p'}{8 m^2 \omega_1 \gamma_0^5} \right]^{1/3} \frac{\sqrt{3}}{2} \quad (16)$$

The growth rate scales as one third power of beam density and one third power of the wiggler wave intensity.

The phase matching conditions demand that

$$\omega_1 = \omega_i - L\Omega, \vec{k} = \vec{k} - \vec{k}_0.$$

The linear response of electrons at (ω_i, \vec{k}) can be obtained from (21) and (22) by replacing 0 by 1: The pump and sideband waves exert a low frequency ponderomotive force on the electrons

$$F_p = -\frac{1}{2}[(\vec{v}_0 \cdot \nabla)\vec{w}_1 + (\vec{v}_1 \cdot \nabla)\vec{w}_0]. \quad (23)$$

The response of the electrons at (ω, \vec{k}) due to \vec{F}_p and the self-consistent field $-V\langle f \rangle$ is obtained by solving the equations of motion and continuity

$$m(w^* - u) = 2i(w' - u) \mathcal{L} - \frac{\omega_c}{2(\omega^2 - \omega_c^2)} [(\vec{v}_0 \cdot \nabla)\vec{w}_1 + (\vec{v}_1 \cdot \nabla)\vec{w}_0] + \dots \quad (24)$$

$$\langle z \rangle = \frac{h}{m\omega} (\langle k_0 \cdot \nabla \rangle \vec{w}_1 + \langle \langle i \cdot \nabla \rangle V_{0z} \rangle) \quad (25)$$

$$\langle e \rangle = \frac{1}{4} \left[\frac{2iu}{pk^2 th} - \frac{pk^2 ih}{9} - \frac{k_x}{\omega_c^2} \right] \cdot ((\vec{v}_0 \cdot \nabla)v_{1x} + (\vec{v}_1 \cdot \nabla)v_{0x}) - \frac{\omega_c k_x}{2\omega(\omega^2 - \omega_c^2)} \cdot [(\vec{v}_0 \cdot \nabla)v_{1y} + (\vec{v}_1 \cdot \nabla)v_{0y}] + \frac{k_z}{2i\omega^2} \cdot [(\vec{v}_0 \cdot \nabla)v_{1z} + (\vec{v}_1 \cdot \nabla)v_{0z}] \quad (26)$$

Using (26) and (27) in the Poisson's equation we obtain

$$\left(\frac{1}{\omega^2 - \omega_c^2} - \frac{1}{\omega^2 - \omega_0^2} - \frac{1}{\omega^2 - \omega_1^2} \right) \omega_p^2 e \phi_0 \phi_1 \omega_0 k_x k_{1x} = - \frac{\omega_p^2 e \phi_0 \phi_1 \omega_0 k_x k_{1x}}{2m\omega(\omega^2 - \omega_c^2)(\omega_1^2 - \omega_c^2)} f c^2 (\omega\omega_1 + \omega_c^2) \times \left[\frac{k_{0x} k_{1x}}{\omega_0^2} + \frac{k_{0z} k_{1z}}{\omega_0^2} \right] - \frac{u^2 e d_1(\omega) d_2 k_z}{2m\omega^2 k^2} \left[\frac{\omega_0}{\omega_1} \frac{k_{0x} k_{1z} k_{1x}}{(\omega_0^2 - \omega_c^2)} + \frac{\omega_1}{\omega_0} \frac{k_{1x} k_{0z} k_{0x}}{(\omega_1^2 - \omega_c^2)} + \frac{k_{1z} k_{0z} k_z}{\omega_0 \omega_1} \right] - \frac{\omega_p^2 e \phi_0 \phi_1 \omega_1 k_x k_{0x} (\omega\omega_0 + \omega_c^2)}{2m\omega(\omega^2 - \omega_c^2)(\omega_0^2 - \omega_c^2) k^2} \left[\frac{k_{0x} k_{1z} k_{1x}}{\omega_0^2} + \frac{k_{0z} k_{1z} k_z}{\omega_0^2} \right] \quad (27)$$

The nonlinear response of electrons at the sideband waves is governed by the equation of continuity.

$$\frac{\partial n_1}{\partial t} + \nabla \cdot \left(n_0^0 \vec{v}_1 + \frac{1}{2} n \vec{v}_0^* \right) = 0 \quad (29)$$

which on solving yields the perturbed electron density

$$n_{1e} = -\frac{n_0^0 e \phi_1}{\omega} \left[\frac{k_{1x}}{\omega_1} + \frac{1}{\omega_1} \right] - \frac{1}{\omega} \frac{n_0^0 e^2 \phi_0 \phi_1 \omega_0}{\omega_0^2} \left[\frac{m}{\omega_0} \frac{[\omega_j - \omega_j \omega_j J 2]}{\omega_0^2 - \omega_1^2} - \frac{m \omega_j}{\omega_0^2} \right] \quad (30)$$

Using (30) in the Poisson's equation we obtain

$$\left[\frac{1}{\omega^2 - \omega_c^2} - \frac{1}{\omega^2 - \omega_1^2} - \frac{1}{\omega^2 - \omega_0^2} \right] \omega_p^2 e \phi_1 = -\frac{1}{2} \frac{e \# p w_0 \omega^2}{m \omega_i f c_1^2} \left[\frac{f c^2}{U J^2 - u_c^2} + \frac{f c \omega}{\omega_j^2} \frac{f \omega_0 \omega_1}{[\omega_0^2 - \omega_c^2]} + \frac{f c \omega_2 f c_u}{\omega_c^2} \right] \quad (31)$$

Combining (28) and (31) we obtain the nonlinear dispersion relation

$$e_i(\omega_i) e(\omega) = \frac{1}{4} \frac{e^2 (j^2) u_j \omega_j^2 R}{m a \omega_1 p p} \quad (32)$$

where

$$e_i(\omega_i) = 1 - \frac{2}{\omega_i - \omega_c} - \frac{j}{\omega_c} - \frac{p}{\omega_i} - \frac{3}{\omega_i} \frac{\Gamma \Gamma}{\omega_i} \quad (33)$$

$$e(\omega) = \frac{1}{V} \left[1 + \frac{\omega_c}{\omega} + \frac{\omega_c^2}{\omega^2} + \frac{k_x^2}{\omega^2} + \frac{k_z^2}{\omega^2} \right] \left[\frac{K_{0x} K_{1x}}{\omega_0^2 - \omega_c^2} + \frac{K_{0z} K_{1z}}{\omega_0^2} \right] \cdot \left[\frac{k_x k_{1x} \omega_0 (\omega \omega_1 + \omega_c^2)}{V^{**} c / \sqrt{1 - \omega_c^2 / \omega^2}} + \frac{k_{0x} k_{1x}}{\omega_0^2} + \frac{k_{0z} k_{1z}}{\omega_0^2} \right] - \frac{k_x k_{0x} \omega_1 (\omega \omega_0 + \omega_c^2)}{(\omega^2 - \omega_c^2)(\omega_0^2 - \omega_c^2)} \left(\frac{k_{1x} k_{0x}}{\omega_1^2 - \omega_c^2} + \frac{k_{1z} k_{0z}}{\omega_1^2} \right) - \frac{\omega_0 k_{0x} k_{1z} k_{1x} k_z}{\omega \omega_0 (\omega_0^2 - \omega_c^2)} - \frac{\omega_1 k_{1x} k_{0z} k_{0x} k_z}{\omega_1 (\omega_1^2 - \omega_c^2)} - \frac{k_{0z} k_{1z} k_z^2}{\omega_0 \omega \omega_1} \quad (34)$$

$\epsilon_1(\omega_1)$ and $e(\omega)$ when equated to zero, gives the upper hybrid wave of frequency ω_i and lower hybrid wave of frequency

$$\omega = \frac{1}{2} \left[\frac{1}{\omega_0^2} + \frac{1}{\omega_1^2} + \frac{1}{\omega_c^2} \right] \pm \sqrt{\left(\frac{1}{\omega_0^2} + \frac{1}{\omega_1^2} + \frac{1}{\omega_c^2} \right)^2 - \frac{4}{\omega_0^2 \omega_1^2} \left[\frac{k_{0x} k_{1x}}{\omega_0^2} + \frac{k_{0z} k_{1z}}{\omega_0^2} \right]}$$

where ω_i is different for parametric instability as well as for FEL instability

$$\omega = \omega_{LH} \frac{U \wedge k_j v}{V m A;^{1/2}}$$

where

$$\omega_{LH} = \frac{\omega_{pi}}{(i \wedge i)^{1/2}}$$

ω is lower hybrid frequency and ω_{pi} = ion plasma frequency, ω_c express

$$\omega_1 = \omega_{1r} + i\gamma$$

$$\omega_r + i\gamma.$$

Now expand $e_i(\omega_i)$ and $e(\omega)$ as

$$\epsilon_1(\omega_1) = \frac{\partial \epsilon_1(\omega_1) i \gamma}{\partial \omega_1}$$

$$e(\omega) = \frac{\partial e(\omega) i \gamma}{\partial \omega}$$

$$\gamma = \frac{1}{4} \left[\frac{e^2 \phi_0^2 \omega_0 \omega_p^2 R}{m \omega \omega_1 k^2 k_1^2 \left(\frac{\omega_p^2 \omega_1}{(\omega_1^2 - \omega_c^2)^2} \frac{k_{1x}^2}{k_1^2} + \frac{\omega_p^2}{U} \frac{1}{k_1^2} \right) \sqrt{10^3 k^2 + L^2}} \right]^{1/2}$$

$$R \approx \frac{\omega_p^2}{m} \frac{k_x^2}{(\omega^2 - a_1^2)} \frac{k_{0x} k_{1x}}{(w_0 - w_l)} \frac{k_x k_{1x} \omega_0}{(w^2 - w_{Xu}^2 - w^2)}$$

The growth rate turns out to be (see the unnumbered equation shown at the top of this page).

Then, the growth rate can be rewritten as

$$\gamma \approx \frac{1}{4} k_x v_{osc} \approx \frac{\omega}{v_p h} \frac{v_{osc}}{4} \quad (33)$$

where v_{osc} is a oscillatory velocity of plasma electrons due to the pump wave in the x-direction. By solving (33) numerically for the parameters mentioned earlier, the growth rate of the parametric decay instability of the upper hybrid wave is comparable to that of free electron laser instability. It is also sensitive to the frequency and the phase velocity of the lower hybrid wave. However, the upper hybrid wave pumped FEL suffers from a severe limitation due to the parametric instability of the upper hybrid wave. The large amplitude upper hybrid wave may decay into an upper hybrid wave and a lower hybrid wave.

It may be mentioned here that the scheme of upper hybrid wave wiggler FEL is feasible only at high plasma densities, $n \gg n_{oc}$. When plasma density is low, $n \ll n_{oc}$, the frequency of the upper hybrid wave is very close to LO_c from the relation

$$\omega_0^2 \approx \omega_c^2 - \omega_p^2 \frac{Z^2}{k_{0z}^2 + k_{01}^2}$$

The wave would be strongly cyclotron damped when $\omega_0 - \omega_c \sim \text{fc} \omega^{th}$, where v_{th} is the electron thermal velocity. Since $k_{0z} \sim Z / \lambda$, where λ is the length of system, the condition for the weak damping demands $Li(u_p^2 / 2c_j v_{th}) > n$.

IV. RESULTS AND DISCUSSION

The operating frequency of an upper hybrid wave pumped FEL depends on ω_{0c} , k_{0z} and beam energy, k_{0i} is usually fixed by the transverse extent of the plasma column. In order to generate shorter wavelengths with moderate energy beam, one requires higher k_{0z} , which can be achieved by choosing ω_0 close to u_c . However, one can not have ω_0 too close to u_c otherwise it would be heavily cyclotron damped when $\omega_0 - \omega_c \sim k_{0z} v_{th}$, where v_{th} is the electron thermal velocity. The growth rate of FEL instability depends sensitively on UJ . This dependence comes through v_{osc} , the beam oscillatory velocity. v_{osc} acquires large values at

$$k_{0z} \approx \left[\omega_0 \frac{0.1c}{70} \frac{1}{\gamma_0} \right] / v_b$$

At this value of k_{0z}

$$\omega_1 \approx 2\gamma_0^2 \omega_c \left[2 - \frac{1}{\gamma_0} \right]$$

At large values of UJ , scales as γ_0^2 . It has sensitive dependence on k_{0z} . For $k_{0z} \approx 2.35$, the oscillatory beam velocity acquires a large values and the growth rate increases. The frequency of radiation at this point is $\omega = 1.771 \times 10^{12}$ rad/s. The growth rate of upper hybrid wave pumped FEL scales as one fourth power of beam current. The upper hybrid wave wigglers appear to have some promise for the generation of higher frequency in a free electron laser. The operation of FEL in the collective Raman regime is more attractive and should result in the generation of millimeter waves.

The upper hybrid wave pumped FEL suffers from a severe limitation due to the parametric instability of the upper hybrid waves. The large amplitude upper hybrid wave may decay into an upper hybrid wave and a lower hybrid wave. The growth rate of the parametric decay instability of the upper hybrid wave is comparable to that of free electron laser instability.

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